

#### **General Order 25.1 - Grievance Procedures**

**PURPOSE:** Define grievance and complaint reporting procedures; describe responsibility for grievance and complaint procedures; define contents of grievance and complaints; describe handling grievances and complaints; describe appealing decisions made in grievances and complaints; define the grievance board; define grievance and complaint records; and describe review of grievance and/or complaints.

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AMENDS: 25.1.1, 25.1.3, 25.1.5,25.1.6, 25.1.7 THIS ORDER CANCELS: 25.1 Approved 10/01/00

ISSUED BY: Sheriff Jeffrey Easter

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REVIEWED BY: Standard Review Committee

#### **INDEX AS:**

- 25.1.1 Grievance and Complaint Reporting Procedures
- 25.1.2 Responsibility for Grievance and Complaint Procedures
- 25.1.3 Contents of Grievance and Complaints
- 25.1.4 Handling Grievances and Complaints
- 25.1.5 Appeal Procedures for Complaints and Alternative Appeal Procedures of Investigative Decisions
- 25.1.6 Grievance Board
- 25.1.7 Grievance and Complaint Records
- 25.1.8 Review of Grievances and/or Complaints

## **DEFINITIONS:**

- A. Complaint: A job dissatisfaction not rising to the level of a grievance. Requires formal action on the part of a supervisor.
- B. (R) Grievance: A job dissatisfaction dealing with unpaid suspension, demotion, or dismissal.

## 25.1.1 GRIEVANCE AND COMPLAINT REPORTING PROCEDURES

- A. (**R**) A grievance, as defined above, is regulated by Sedgwick County Charter Resolution 60 and Civil Service policy 9.01, and reported as follows:
  - 1. The grievance must be submitted in writing.
  - 2. **(R)** In order to obtain a Civil Service hearing to contest a dismissal, unpaid suspension or demotion, the grievance must be submitted to the Civil Service Officer within fifteen (15) days after receiving notice of the dismissal, unpaid suspension or demotion.

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- 3. An employee must appear in person during a grievance hearing and may be accompanied by an attorney.
- B. Complaints involving harassment or discrimination of employees based upon their race, color, national origin, sex, age, religious or political affiliation, handicap, or status as a disabled or Vietnam-era veteran are handled as specified in Civil Service policies, section 1.03.
- C. (**R**) All other complaints are to be submitted in writing within fifteen (15) days and through the chain of command.
  - 1. Initial complaints of employees should be directed to the lowest level of supervision that the employee believes can remedy the perceived problem.
    - a. Complaints are to be sent through channels.

# 25.1.2 RESPONSIBILITY FOR GRIEVANCE PROCEDURES AND COMPLAINT PROCEDURES

- A. The Civil Service Board coordinates all grievance procedures.
- B. (**R**) Complaints alleging harassment or discrimination shall be handled as specified in Civil Service Policy 1.03.
- C. (**R**) The undersheriff coordinates all other complaint procedures.

#### 25.1.3 CONTENTS OF COMPLAINTS

- A. Employees submitting complaints shall submit them in standard deputy's report format. The reports shall include:
  - 1. A statement of the complaint, and the facts upon which it is based;
  - 2. An allegation of the specific wrongful act and/or harm done; and
  - 3. A statement of the remedy or adjustment sought.

## 25.1.4 HANDLING GRIEVANCES AND COMPLAINTS

A. (**R**) Grievances shall be handled as specified in Civil Service policy 9.01.

- B. (**R**) Complaints alleging harassment or discrimination shall be handled as specified in Civil Service Policy 1.03.
- C. (**R**) All other complaints shall be handled as follows:
  - 1. Supervisors receiving complaints shall acknowledge receipt by noting time and date received on the complaint, along with the action taken, (e.g., forwarded, handled, etc.).
  - 2. Supervisors acting upon a formal complaint shall analyze the facts and/or allegations.
  - 3. Supervisors shall affirm or deny, in writing, the allegations in the complaint and identify the remedy or adjustments (if any) to be made.
  - 4. A copy of the supervisory answer shall be given to the complaining employee.
  - 5. The original complaint and answer shall be forwarded to the professional standards unit for review and filing.
  - 6. Prior to acting upon a formal complaint, a supervisor must decide if the complaint can be handled at that level of supervision by answering the following questions:
    - a. Who does the employee answer to?
    - b. Is the receiving supervisor responsible for correcting the situation?
  - 7. A supervisor may always refer a complaint to the next level of supervision if he/she is unsure how to proceed.
  - 8. A complaint may be handled by a supervisor that would be authorized to remedy the situation, if action would be warranted.
    - a. The supervisor handling the complaint shall notify his/her immediate supervisor as to the nature of the complaint and proposed action (if any).
  - 9. A supervisor's answer must be completed within thirty (30) days of receipt of the initial complaint.

## 25.1.5 APPEAL PROCEDURES FOR COMPLAINTS AND ALTERNATIVE APPEAL PROCEDURES OF INVESTIGATIVE DECISIONS

- A. (**R**) For complaints not alleging harassment or discrimination, the employee may appeal the complaint directly to the next higher level of supervision.
  - 1. Such appeal is to be in written form and submitted within twenty-four (24) hours of receiving a supervisor's answer.
  - 2. An employee may also begin an appeal if a supervisor's answer (as specified in Section 25.1.4), is not supplied within forty (40) days of original submittal.

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- B. (**R**) . Any oral response will be made at a time and place designated by the sheriff or review deputy, if appointed. Such time will be no later than seventy-two (72) hours after delivery of the notification.
  - 1. The employee has no right to appear by or with an attorney to make an oral or written response.
  - 2. **(R)** A written notification of the disposition of the matter will be given to the employee requesting the appeal. A copy of the notification will be placed in the employee's personnel file in the administration office.

#### 25.1.6 GRIEVANCE BOARD

- A. (**R**) Grievances are handled by the Civil Service Board. Composition, functions and criteria for appointment of members are regulated by Kansas statutes and Sedgwick County Resolution 60.
- B. (R) Any appeal of an action which resulted in an unpaid suspension, demotion, or termination will be addressed by the Civil Service Board through Civil Service Policies.
- C. (**R**) All other complaints that are not defined as a grievance will be handled via the chain of command rather than by the Civil Service Board.

## 25.1.7 GRIEVANCE AND COMPLAINT RECORDS

- A. (**R**) Formal grievances are recorded in the minutes of a Civil Service Board meeting. Said meetings are subject to the Kanas Open Meetings Act, K.S.A. 75-4317 et. seq. Certain records may be subject to the Kanas Open Records Act, K.S.A. 45-215 et. seq.
- B. Complaints and supervisory answers shall be kept on file by the professional standards unit.
  - 1. Access to these files shall be limited to those supervisory personnel involved in review and/or analysis of complaints.

#### 25.1.8 REVIEW OF GRIEVANCES AND/OR COMPLAINTS

- A. (**R**) Each year no later than January 31<sup>st</sup>,, an analysis of the grievances and complaints received during the past twelve (12) months shall be made by the professional standards unit and presented to the sheriff.
- B. This analysis shall include, at a minimum:
  - 1. Total number of grievances and complaints received during the past twelve (12) months;
  - 2. A quantifiable comparison between the present and preceding reports;
  - 3. Notation of any trend or common complaint; and
  - 4. Specific recommendations that could minimize the causes of any common complaint or trend so noted.