



**SEDGWICK COUNTY, KANSAS
DIVISION OF FINANCE**

Purchasing Department

525 N. Main, Suite 823 ~ Wichita, KS 67203

Phone: 316 660-7255 Fax: 316 383-7055

[https://www.sedgwickcounty.org/finance/purchasing/
requests-for-bid-and-proposal/](https://www.sedgwickcounty.org/finance/purchasing/requests-for-bid-and-proposal/)

**REQUEST FOR PROPOSAL
RFP # 21-0002
CUSTODIAL SERVICES FOR THE SEDGWICK COUNTY
CORRECTIONS**

February 19, 2021

Sedgwick County, Kansas (hereinafter referred to as “county”) is seeking a firm or firms to provide custodial services for Corrections, totaling approximately 27,969 square feet. If your firm is interested in submitting a response, please do so in accordance with the instructions contained within the attached Request for Proposal. Responses are due no later than 1:45 pm CST, Tuesday, March 9, 2021.

DUE TO COVID RESTRICTIONS, WE WILL NOT BE ABLE TO DO PHYSICAL TOURS OF THE INDIVIDUAL BUILDINGS.

To ensure that vendors have complete information prior to submitting a proposal, **a pre-proposal meeting has been scheduled for Tuesday, February 23, 2021 at 3:00 pm via ZOOM. To receive a link please email britt.rosencutter@sedgwick.gov with the word ZOOM in the subject line.** This meeting is **not mandatory**. Vendors who wish to have their proposals reviewed are encouraged to have a representative from their firm attend this pre-proposal meeting.

All contact concerning this solicitation shall be made through the Purchasing Department. Proposers shall not contact county employees, department heads, using agencies, evaluation committee members or appointed or elected officials with questions or any other concerns about the solicitation. Questions, clarifications and concerns shall be submitted to the Purchasing Department in writing. Failure to comply with these guidelines may disqualify the Bidder’s response

Sincerely,

**Britt Rosencutter
Buyer**

BR/ch/lj

Table of Contents

- I. [About this Document](#)
- II. [Background](#)
- III. [Project Objectives](#)
- IV. [Submittals](#)
- V. [Scope of Work](#)
- VI. [Sedgwick County's Responsibilities](#)
- VII. [Proposal Terms](#)
 - A. [Questions and Contact Information](#)
 - B. [Minimum Firm Qualifications](#)
 - C. [Evaluation Criteria](#)
 - D. [Request for Proposal Timeline](#)
 - E. [Contract Period and Payment Terms](#)
 - F. [Insurance Requirements](#)
 - G. [Indemnification](#)
 - H. [Confidential Matters and Data Ownership](#)
 - I. [Proposal Conditions](#)
- VIII. [Required Response Content](#)
- IX. [HIPAA Required Response](#)
- X. [Response Form](#)

I. About this Document

This document is a Request for Proposal. It differs from a Request for Bid or Quotation in that the county is seeking a solution, as described on the cover page and in the following Background Information section, not a bid or quotation meeting firm specifications for the lowest price. As such, the lowest price proposed will not guarantee an award recommendation. As defined in Charter Resolution No. 68, Competitive Sealed Proposals will be evaluated based upon criteria formulated around the most important features of the product(s) and/or service(s), of which quality, testing, references, service, availability or capability, may be overriding factors, and price may not be determinative in the issuance of a contract or award. The proposal evaluation criteria should be viewed as standards that measure how well a vendor's approach meets the desired requirements and needs of the county. Criteria that will be used and considered in evaluation for award are set forth in this document. The county will thoroughly review all proposals received. The county will also utilize its best judgment when determining whether to schedule a pre-proposal conference, before proposals are accepted, or meetings with vendors, after receipt of all proposals. A Purchase Order/Contract will be awarded to a qualified vendor submitting the best proposal. **Sedgwick County reserves the right to select, and subsequently recommend for award, the proposed service(s) and/or product(s) which best meets its required needs, quality levels and budget constraints.**

The nature of this work is for a public entity and will require the expenditure of public funds and/or use of public facilities, therefore the successful proposer will understand that portions (potentially all) of their proposal may become public record at any time after receipt of proposals. Proposal responses, purchase orders and final contracts are subject to public disclosure after award. All confidential or proprietary information should be clearly denoted in proposal responses and responders should understand this information will be considered prior to release, however no guarantee is made that information will be withheld from public view.

II. Background

Sedgwick County, located in south-central Kansas, is one of the most populous of Kansas' 105 counties with a population estimated at more than 514,000 persons. It is the sixteenth largest in area, with 1,008 square miles, and reportedly has the second highest per capita wealth among Kansas' counties. Organizationally, the county is a Commission/Manager entity, employs nearly 2,500 persons, and hosts or provides a full range of municipal services, e.g. – public safety, public works, criminal justice, recreation, entertainment, cultural, human/social, and education.

III. Project Objectives

Sedgwick County, Kansas (hereinafter referred to as "county") is seeking a firm or firms to provide custodial services. The following objectives have been identified for this contract:

1. Acquire Custodial Services meeting the parameters, conditions and mandatory requirements presented in the document.
2. Establish contract pricing with the vendor that has the best-proven "track-record" in performance, service and customer satisfaction.
3. Acquire Custodial Services with the most advantageous overall cost to the county.

IV. **Submittals**

Carefully review this Request for Proposal. It provides specific technical information necessary to aid participating firms in formulating a thorough response. Should you elect to participate, submit one (1) original **AND** one (1) electronic copy (.PDF/Word supplied on a flash drive) of the entire document with any supplementary materials to:

Britt Rosencutter
Sedgwick County Purchasing Department
525 N. Main, Suite 823
Wichita, KS 67203

SUBMITTALS are due **1:45 pm Tuesday, March 9, 2021**. Responses must be sealed and marked on the lower left-hand corner with the firm name and address, proposal number, and proposal due date. Late or incomplete responses will not be accepted and will not receive consideration for final award.

Proposal responses will be acknowledged and read into record at bid opening which will occur at 2:00 pm CST, on the due date. No information other than the respondent's name will be disclosed at bid opening.

V. **Scope of Work**

The Custodial Contractor Shall:

1. Be responsible to make the management and operational decisions to meet the quality standards required under this contract.
2. Implement an effective Quality Control Plan (QCP) and provide a copy to the Site Administrator (Representative) (hereinafter referred to as the SA(R)). The QCP shall be submitted as part of the proposal package.
3. Implement an effective service call system, which results in prompt, professional, and courteous resolution of tenant concerns. Service calls shall not excuse incomplete service in other areas.
4. Keep the SA(R) informed of current status of the work being performed, provide work schedules, and provide other pertinent information needed by the SA(R).
5. Reduce the environmental impacts of work performed by using, to the maximum extent, environmentally sound practices, processes, and products when possible. The Sedgwick County Custodial Management Team (SCCMT) recommends applying green cleaning services that are outlined in the current "GS-42 Green Seal Environmental Standard for Cleaning Services." Any conflicting services between the Green Cleaning and this Proposal, the Proposal shall govern.
6. Provide a designated Site Supervisor. The site supervisory duties shall include daily communication with the SA(R). The Site Supervisor shall be responsible for quality completion of all custodial work and for notifying the SA(R) of any incomplete work.
7. Cleaning mops and rags shall occur after scheduled cleaning hours, and a clean mop and rag used each day.
8. Shall submit finding of pest or rodent evidence to the SA(R).
9. Shall submit finding of property damage to the SA(R).
10. Shall submit finding of areas in need to special request cleaning to the SA(R).
11. Relock any doors that are found locked prior to cleaning.
12. Turn off all lights upon completion of cleaning an unoccupied area.
13. In the event a contractor has been requested to clean an area and discovers area is occupied, the contractor may attempt to provide service by politely requesting permission. If the user does not give permission, the custodian shall pass over this area until a later time when the area may be available.

14. Supply a list of all equipment and general materials to be used in the fulfillment of services. The contractor is to keep this list current and updated. Submit List of Equipment / materials to SA(R) one (1) week prior to the first day of custodial work at each facility. The County Custodial Manager (CCM) shall approve all products prior to use (feather dusters are not approved).

Vendor Supply List by Contractor includes:

- Metal cleaners and polisher. All residue shall be removed.
- Cleaning/polishing product for genuine wood paneling and similar surfaces. Do not use products that “cloud” or buildup on surfaces or leave excessive oily surface.
- Water based glass cleaners and countertop cleaners. Products that leave a residue shall not be used.
- All floor cleaners, strippers, sealers, finish products, etc.
- All restroom, bathroom, shower cleaning products and supplies; including liners for disposal receptacles, and dispensers for feminine pads and tampons (with the exception of toilet paper, paper towels and can liners).
- Gloves, rags and other expendables
- Graffiti removal cleaners
- MRSA disinfectant and sanitizer
- All other supplies not specifically identified in this document.

15. Equipment by Contractor includes:

- All equipment used by Contractor (including power equipment)
- Carpet shampoo equipment with self-contained extraction unit to include brush agitator
- Dilution controlled chemical station
- Fine filament microfiber mops
- Ladders with cushions on their feet to protect floors
- HEPA filtered vacuum where required.
- All carts, vacuum cleaners, buffers, scrubbers, batteries, brushes, buffer pads, and other related equipment and supplies. Contractor shall also furnish, supply and display adequate floor signs and cautioning of wet floor when working in buildings that have staff present at time of cleaning.
- Sanitary napkin / tampon dispensers. Contractor is responsible for purchase of products and refill of dispensers. Dispensers shall be provided and installed by county.
(NOTE: Contractor retains all income from these dispensers.)
- Cleaning solution mixing stations, related plumbing devices
- Any other equipment of similar nature Contractor may use.

16. Keep Material Safety Data Sheets (SDS) updated and a copy readily available at each site at all times. Submit SDS sheets one (1) week prior to the first day of custodial work at facility.

17. Coordinate with the SA(R) prior to the schedule of Periodic Cleaning Services. The Contractor shall be responsible for moving small furnishings (sofas, chairs, benches, tables, chair pad, trashcan, etc.). Any furnishings moved are to be returned to their original positions. Moving of large furniture (cubicle, desk, shelving, file storage, special equipment, copiers, refrigerators, etc.) will be by the county. Contractor shall take provisions to protect any furniture remaining, not moved, in area of cleaning. Moving of duplicating equipment, computer equipment, and similar type electric and electronic equipment shall not be by the Contractor.

18. Maintain adequate custodial staffing levels.

19. **Communication Plan**

The Contractor shall prepare and provide to the CCM a communication plan detailing how the Contractor's plans to communicate with Sedgwick County, to receive and respond to service calls, status of projects, etc. The plan shall be submitted as part of the proposal package for the County to review and approve.

20. **Service Calls**

The Contractor shall respond to unexpected service calls during building operating hours, typical operating hours are 8:00 am to 5:00 pm unless noted otherwise. Contractor shall detail in its QCP how it will monitor and respond to service calls. The Contractor shall respond to all service call requests (custodial issues), and invoice the SA(R) in accordance with the agreed upon pricing schedule provided in Attachment A.

The Contractor response shall be within 15 minutes on any service call, and action shall be taken within one (1) hour or as agreed upon with SA(R).

Non-Performance Issue service calls: Any discrepancies noted and reported to the SA(R) for corrective action, shall be at no additional cost to County. The Contractor shall begin corrective action within one (1) hour.

21. **Contractor Inspections**

The Contractor shall inspect all facilities under contract using an inspection report of the Contractor's design not less than once a month, for the purpose of ensuring quality workmanship and compliance with the contract. A copy of this report shall be provided to the SA(R).

22. **Special Request Cleaning**

The following services will be available to each facility as an optional additional cost. A request for unit price is included in the cost for proposal Attachment A.

A. Dry Vapor Steam Clean: Initial Specially Requested clean requires two cleaning services spaced two weeks apart. Subsequent Specially Requested clean, one (1) cleaning service is required. The Contractor shall use a high pressure sprayer/washer with a dry vapor steam (contains 5-6% water) delivered at surface to clean at 212 degrees F steam at distances less than 600 ft. with high suction at 650 CFM. Contractor shall use degreaser, scraper, extractor, cleaner, brushes, etc. to remove soil, debris, built-up detergent, wax, oil, gum, tar, mildew, etc. Areas of application: floors, walls, upholstered surfaces, (exclude wood surfaces). Contractor is responsible for additional aggressive approach to remove stubborn stains without damage to surface. Cleaning shall be accordance with current industry standards. Contractor shall submit cleaning process details with cost for this service.

B. Grout Sealer: Application is required for Tiled surfaces that have received a Dry Vapor Steam clean. Contractor is responsible for providing and applying a topical penetrating grout sealer (natural look) to protect grout from stains immediately following steam clean. Application shall be in accordance with current industry standards and manufacturer's recommendations. Contractor shall submit cleaning process details with cost for this service.

C. Stain Protector: Application is required for Carpeted surfaces and Upholstered surfaces that have received a Dry Vapor Steam clean. Contractor is responsible for providing and applying a topical stain protector. Application shall be in accordance with current industry standards and manufacturer's recommendations. Contractor shall submit cleaning process details with cost for this service.

The Contractor shall provide specified service types that provide regular satisfaction from the employees and visitors, rarely resulting in complaints.

All cleaning methods shall be in accordance to current applicable industry standards: as listed but not limited to OSHA 29 CFR 1910.1001, Marble Institute of America, International Polished Concrete Institute, National Wood Flooring Association, Carpet and Rug Institute and/or Manufacturer of specific material's maintenance guidelines. The Performance based service contract should be in accordance with current applicable industry standards. In the event of conflicting information, Vendor is responsible for making SCCMT aware. SCCMT shall determine cleaning method to be used.

23. Floor Care

A. Non-Carpet:

Floors shall be clean and free of debris. Floors shall be free of visible buildup of finish, including corners and crevices. The floors shall not have a dull appearance. Areas with applied floor wax finish shall maintain a high gloss level of shine. Floors under furniture, pipes, benches, chairs, work tables, doors, corners, or any other object that is not installed or securely fastened in place shall be clean and free of debris.

- i. *Un-waxed Floor:* Porcelain floor tile work, Polished Concrete, Stair Treads, Rubber Tile, Granite (or Terrazzo), Raised Access Floors, and “no-wax” sheet vinyl shall not receive an applied wax finish unless noted otherwise under site specific information. Coordinate with the County if floor has waxed finish on a no-wax floor. Contractor shall remove wax upon direction of Sedgwick County Facilities Team.
- ii. *Chemical Compatibility:* All chemicals used for floor finishes, floor finish removers, floor seal, spray buff solutions, detergents and seals must always be compatible and/or be by the same manufacturer.
- iii. *Entrance Floor Grid:* Recessed pit shall be clean and free of debris. Remove grid as required, and where able, to clean pit.
- iv. *Entryways:* Contractor is responsible entryway exteriors out to 25ft.

SERVICES IDENTIFIED AS ROUTINE CARE FOR NON-CARPET

- Dust Mop or Sweep
- Spot Mop, includes gum removal
- Damp Mop
- Wet Clean
- Machine Scrub Floor
- Spray Buff
- Strip and Refinish Ceramic and Vinyl Tile Floors

B. Carpeted Floor:

Floors shall be clean and free of debris. There shall be no areas of deterioration or “fuzzing” as a result of harsh brushing or scrubbing. The carpet shall be dry before customers occupy the building on the next business day.

All tears, burns and raveling shall be brought to the attention of the SA(R). County shall cut carpet strings, not contractor. Removing carpet stains using bonnet equipment and supplies is prohibited under the terms of this contract and will not be tolerated.

- i. *Floor Mats, Rugs and Runners:* For purpose of this Contract, the topside of the runner, with carpet affixed shall be interpreted to be carpet with respect to carpet requirements. Additionally, those requirements pertaining to carpet runners apply. Mats, rugs and runners (material) shall be removed for cleaning flooring surface underneath and underneath surface of Mat. Floor and material shall be thoroughly dry before returning to original location.

SERVICES IDENTIFIED AS ROUTINE CARE FOR CARPET

- Vacuum
- Spot Cleaning includes gum removal
- Edge Vacuum
- Carpet Cleaning by Extraction, Agitation and Shampoo.

24. Wall Care

A. Vertical Surfaces:

Walls (all finishes), front of cubicle at reception desk, doors, door frames, moldings, wall base, signage, and window sills shall be clean and free of debris. Cleaning shall not cause discoloration or damage to lettering on signage.

Areas of walls near handrails, trash cans, elevator's call button, soap dispensers, etc. may require additional scrubbing, as necessary to be clean. When dusting, start with high surfaces and work downward.

- i. *Metal Surfaces:* All metal surfaces should be clean and free from smudges, tape and other marks. Areas where applicable: Any exposed interior metal surface, structural or decorative. Metal parts of doors, railings and other metal parts frequently touched require regular cleaning and disinfecting.
- ii. *Mirror / glass:* The Contractor shall polish interior glass and mirrors. Surface shall be clean with a high level of shine, and shall not be cloudy. There shall be no water spots on the glass or adjacent fixtures and furniture. Special provisions required to protect the surface applied film coating on glass
- iii. *Graffiti Removal:* Contractor shall remove graffiti using normal cleaning methods (ex. normal graffiti removal cleansers or solvents). Graffiti that cannot be removed with such methods shall be reported to the SA(R).

SERVICES IDENTIFIED AS ROUTINE CARE FOR VERTICAL SURFACES

- Dusting, High
- Dusting, Low
- Dusting, Overhead
- Spot Clean Building Surfaces
- Clean Surface Area

25. Ceiling Care

A. Cleaning Heights

High Dusting.....	Counter height to 88" (7'-4")
Low Dusting.....	Floor level to counter height
Overhead Dusting.....	88" (7'-4") – to Ceiling height or max. Height of 144" (12'-0")
Spot Clean and Graffiti Removal.....	0" - 88" (7'-4")
Clean Surface Area.....	0" - 88" (7'-4")
Lights and HVAC grilles.....	88" to 144" (12'-0")

B. Ceiling Surface: Visible ceiling surface shall be clean and free of debris. Surfaces adjacent to HVAC grilles may require additional cleaning. Apply routine cleaning to ceilings from 0 – 144" (12'-0").

C. Light Fixtures:

Fixtures shall be clean and free of debris, dirt, bugs, webs and water streaks. Fixtures with glass shades (bowl), lens, louvers, or grilles, shall apply cleaning to inside surface. Contractor shall not clean audio/ visual equipment. Special provisions are required for pendant light fixtures, Contractor shall support and secure fixture so that fixture shall not swing while cleaning. Pendant fixtures (fans or lights) height limitations apply to bottom of fixture. The Contractor shall apply routine cleaning to all fixtures and surfaces from 0 – 144" (12'-0"). For example: a pendant fixture mounted at 13'-0" height ceiling, bottom of pendant fixture drops to 10'-0" above finished floor (a.f.f), fixture would receive routine cleaning as part of this contract because the 10'-0"(a.f.f.) falls within the 12'-0" cleaning range.

D. HVAC grilles:

Diffusers, heating/cooling returns, and exhaust lens covers and grilles shall be clean and free of debris. Vendor is not responsible for cleaning inside HVAC grilles. Apply routine cleaning to ceilings from 0 – 144" (12'-0").

SERVICES IDENTIFIED AS ROUTINE CARE FOR CEILING CARE

- Dusting, Ceiling Surface
- Clean and Dust, Light Fixtures
- Clean and Dust, HVAC grilles

26. Miscellaneous Care

A. Trash

Wastebaskets shall be emptied, clean and free of debris, odor free, and lined. The County shall furnish plastic liners for wastebaskets. Contractor shall place new liners in wastebasket.

B. Elevators

Wash elevator walls and all doors (every floor) shall be clean and free of debris. Clean elevator door tracks and dust light lenses or diffusers. Apply routine floor, wall and ceiling care.

C. Stairs

Stair components are as follows: treads landings, risers, stringers, and all components of handrail. Apply routine floor, wall, and ceiling care in stairwells.

D. Furniture and Table Top Cleaning

- Furniture and Tabletops* shall be clean and free of debris. The Contractor shall not be responsible for cleaning any flat surface that has papers or books on them. The contractor shall not be required to clean employee desks.
- Upholstered Furniture:* Chairs, lounge furniture, sofas, etc., shall be clean and free of debris sanitize vinyl-covered furniture. Cleaning shall not cause discoloration. Routine clean: vacuum, sanitize and spot clean. Clean and shampoo shall remove all soil and stains.
- Wood furniture:* Benches, tables, cabinet fronts, etc. All surfaces should be clean and free from debris, smudges and other debris. Polishing should not be more frequently than monthly and non-aerosol in order to prevent excessive buildup of polish.

E. Drinking Fountains

All porcelain and polished metal surfaces, including the orifices and drain, as well as exterior surfaces of fountains shall be cleaned and disinfected and free of debris. Apply routine clean.

F. Break-room, Kitchenettes, Conference Room

Contractor shall thoroughly clean areas. Clean counter tops and sinks, cabinet front, open shelves, front and top of appliances and wall mounted equipment, and table and chairs. Dishwashing and the cleaning inside appliances is not included. Apply routine floor, wall, ceiling care and applicable miscellaneous care.

- Policing:* (Applies to Buildings with Day Custodians) all public area surfaces shall be clean and free of debris.

G. Plumbing Fixtures

Plumbing fixtures and dispensers are clean when free of all deposits and stains so that item is left without dust, streaks, film, odor and stain. Fixtures shall maintain a high level of luster. Apply routine clean.

- De-scale:* The Contractor to remove scale, scum, mineral deposits, rust stains, etc. from the inside of toilet bowls and urinals, from the surface surrounding faucets, drinking fountains and mop sinks without damage to toilet, urinal, faucet, fountain or sink. Apply routine clean.

H. Clean Public Entrances and Adjoining Entrance Glass Areas

Area must be cleaned and made free of debris and glass must be cleaned and free of streaks, spots etc. Empty and clean ashtrays and other waste containers, inserting liners as required. Clean glass surfaces in the lobby entrances adjacent to entry doors, and waiting areas from floor to 88" (7'-4") above finished floor. Apply routine floor, wall, ceiling care and applicable miscellaneous care.

- i. *Policing:* (Applies to Buildings with Day Custodians) all public area surfaces shall be clean and free of debris.

I. Exterior:

- i. *Clean up of litter:* The Contractor shall remove litter from the building per scheduled routine cleaning. When providing daytime service, Contractor shall police for litter from curbside, walkways, sidewalks, grass, exterior seating area, planters, and planted area, ash urns and yard furniture up to a distance of 25 feet from building structures (as weather permits). The Contractor shall provide routine clean and removal of debris.
- ii. *Exterior Waste Baskets and Ash Trays:* Wastebasket shall be emptied, clean, no debris, odor free, and lined. The County shall furnish plastic liners for wastebaskets. Contractor shall place new liners in wastebasket.
- iii. *Policing:* (Applies to Buildings with Day Custodians) Area within 25 feet from building distance, including lawn, grounds, planted areas, sidewalks, hard surfaces, ramps and lanes, etc. shall be clean of gum, litter, debris, paper, trash and other discarded material.
- iv. *Grass clippings:* Grass clippings and yard debris that are walked in, or blown in, shall be removed. Separate vendor for the grounds is responsible for removal of clippings on walkways and sidewalks, the grass clippings that blow off the grass into entryways shall be removed by the Contractor.

J. Refill Dispensers

The Contractor shall check and refill each toilet paper dispenser, hand soap dispenser, paper towel dispenser, paper cup dispenser, baby changing station dispenser, air freshener, toilet seat cover dispenser, etc. in accordance with the directions of the suppliers and dispenser manufacturers. The Contractor shall wipe surfaces adjacent to hand soap dispenser to remove spillage and leakage.

K. Blinds

The Contractor shall clean all blinds: mini and vertical, drapery, valances, cord tapes, coverings. Routine clean involves spot cleaning and dusting to remove all dirt and dust. Blinds not operating properly shall be reported to the SA(R) for repair. Clean height from 0" – 88" (7'-4"). Apply routine care.

L. Artificial Plants In Public Areas:

Routine clean: plant shall be free of dust. Apply routine care.

27. Room Specific Clean

A. Clinical Services: Exam Rooms and Public Areas in a Clinic

Areas shall be clean, sanitized with MRSA Disinfectant and provisions taken to minimize contamination according to the current Guidelines for Environmental Infection Control in Health-Care Facilities. JCAHO/HIPAA, CDC, HICPAC regulatory guidelines shall be implemented for patient-care rooms, waiting rooms and public areas in clinic.

- i. *Coordination with Clinic* to determine when additional cleaning is required for effective infection-control of blood or body contamination, or special pathogens have been reported present. The additional cleaning service shall be provided upon request by the CCM and billed separately.
- ii. *Clean and Sanitize* with MRSA disinfectant areas shall be clean, free of debris, calcium deposits, and body fluids. Apply routine floor, wall, ceiling care and applicable miscellaneous care with the addition of MRSA disinfectant. Areas shall have a clean scent or no odor at all.
- iii. *Exam Room Equipment* will not be included in scope of work.
- iv. *Signage or Chart Holder*: Surface shall be cleaned and disinfected using a germicidal detergent, provisions taken to protect lettering.

B. Restroom, Shower Rooms, Locker Rooms and Holding Cells

- i. *Clean and Sanitize*: Areas shall be clean, free of debris, mildew, body fluids, calcium deposits, waste and graffiti. Apply routine floor, wall, ceiling care and applicable miscellaneous care with the addition of germicidal disinfectant. Restrooms shall have a clean scent or no odor at all.
- ii. *Floor care*: Contractor shall take provisions to eliminate buildup of detergent residue on grouted surfaces.
- iii. *Partitions* shall be clean, sanitized, and smudge, graffiti, and stain free.
- iv. *Grout* on the wall and floor tiles shall be free of dirt, scum, mildew and residue.
- v. *Policing*: (Applies to Buildings with Day Custodians) all public restroom surfaces shall be clean and free of debris.

SECTION 1 – QUALITY ASSURANCE

The Contractor shall be required to clean each facility subject to this contract to a neat and clean condition satisfactory to the County. The Sedgwick County Custodial Management Team (SCCMT) will monitor the Contractor's performance under this contract.

All questions concerning the quality or acceptability of materials used, the work performed, the manner of performance and progress meeting the requirements of the contract should be taken to the CCM.

Sedgwick County has adopted a modified version of the **APPA** Five levels of Cleanliness, as the standard by which cleaning performance and facility cleanliness will be gauged.

SECTION 2 – NON-PERFORMANCE

Contract Deductions for Non-Performance on Creditable Complaints:

A deduction of \$50.00 per infraction per office/room or area per day may be assessed by the SA(R) following the process described below for failures to perform contract requirements. The deductions will continue daily until such deficiencies or non-performance infractions are corrected. If the infraction is remedied within one (1) hour of notice, no further action is taken. This deduction shall be used to recoup cost the owner incurs in managing the necessary contract compliance and correction, for services not performed.

Process:

- a) A call or e-mail to the contractor is generated from SA(R) stating infraction.
- b) Contractor is expected to respond to this call or email within 15 minutes.
- c) Corrective action is to begin within one (1) hour.
- d) If no response is received after a minimum of one (1) hour from initial call or email, SA(R) shall email contractor to notify them infraction has not been resolved.
- e) If the infraction is not corrected by the next day, or urgency requires the County take corrective action in-house, a deduction in pay is made.

Example:

Day 1: Contractor did not vacuum and did not empty a trash container in an office. A call from the SA(R) was made to the contractor but no response received, and no action to remedy the infraction was taken. The owner follows the outlined notification indicated.

Day 2: The office is still not vacuumed and the trash had not been removed. The owner again follows the outlined notifications indicated.

Day 3: The trash has been removed but the office is still not vacuumed.

Infraction 1: Contractor did not vacuum office. \$50.00 x 2 days = \$100.00

Infraction 2: Contractor did not empty trash container. \$50.00 x 3 days = \$150.00

SECTION 3 – CONTRACT INFORMATION

3.1 Contractor's Work Plan

Within 14 days after award of the contract, the Contractor shall submit to both the County Custodial Supervisor and the Purchasing Department, a complete plan of his/her operations. The plan will become part of the contract. The plan shall include the following (employee names and information is required one (1) week prior to contract start date):

3.1.1 Name and telephone number of the Contractor's on-site supervisor that is responsible for the assigned employees and responsible for attainment of the performance standards. Contractor shall ensure that this information and telephone number are kept up-to-date.

3.1.2 Name and telephone number of the Contractor's backup on-site supervisor that is responsible for the assigned employees and responsible for attainment of the performance standards in the event that the primary on-site supervisor is unavailable. Contractor shall ensure that this information and telephone number are kept up-to-date.

3.1.3 Name and telephone number of the individual who will take immediate corrective actions when notified, from the County Custodial Supervisor, to any major discrepancies.

3.1.4 Names and cell phone numbers of 24-hour on-call contact persons that will respond to emergencies.

3.1.5 Name and telephone number of employee(s) assigned to the each jobsite (include any alternates). Contractor must receive approval from the County Custodial Supervisor or his/her alternate prior to allowing any person(s) access to the building through duration of the contract.

3.1.6 Name, address and telephone number of employee(s) to act as alternates for each site. (Alternates must be used as last-minute staff replacement. Use of last-minute replacements not already listed as approved alternates by County will not be allowed.)

3.1.7 Provide the County Custodial Supervisor an updated employees list no later than the first week of each month. Contractor shall also provide to County and shall keep updated roster for each employee.

3.1.8 Detailed schedule of cleaning functions, including dates for other than daily functions, which will be performed during the period of the contract.

3.1.9 Vendor is required to bring awarded sites into compliance by contract performance standards. This standard must be met within six months of start of contract. Contract Compliance Manager must approve the completed work.

3.1.10 Transition Plan to begin contract.

3.1.11 Quality Control Plan.

3.2 Contractor's Personnel

The Contractor shall employ a sufficient number of experienced custodians to adequately perform all the specified services in the frequency specified herein. The Contractor shall be responsible for the conduct and performance of the Contractors' employees and compliance with the following rules:

3.2.1 Contractor's employees appearing to be under the influence of alcohol or drugs shall not be permitted in the building.

3.2.2 No loud or boisterous conduct will be permitted.

3.2.3 Contractor shall never schedule or otherwise assign an employee to work within a jobsite where the employee has a professional relationship with or is a family member of a County employee having an office at the jobsite. Verification of this will be the responsibility of the successful vendor providing a roster of assigned personnel to the Maintenance Supervisor for cross reference with current listings.

Any newly assigned, interim shift supervisor or others whom will be granted access to any of the jobsites must be identified and shall also be crossed referenced by the Facility Manager.

3.2.4 Contractor's employees are not permitted to smoke in the buildings and must follow current county smoking policies at all times. Tobacco products are NOT permitted in any County facility, and smoking is NOT permitted outside within 25 feet of any exterior doors.

3.2.5 Contractor's employees must abide by all County rules for standard conduct.

3.2.6 Ensure that contractor employees limit their lunch and breaks to designated areas provided by the County Custodial Supervisor.

3.2.7 Contractor's employees will not open desk drawers or cabinets at any time nor shall they move or disturb any items or documents left on working surfaces or on windowsills, shelving or similar surfaces.

3.2.8 Contractor's employees shall clean surfaces such as tables. Contractor's employees shall NOT move any items on these surfaces, and are NOT to move, turn-on, use, or tamper with office machines, computers, radios, TVs or any other equipment, papers or anything else whether County owned or County employee's personal property.

3.2.9 Contractor shall provide a Custodial supervisor whose responsibility will be to maintain quality control, supervise contractor's staff and to serve as the County Custodial Supervisor's point of contact with the contractor. He or she will be responsible to provide:

- On-site Staff supervision.
- Inspection, training, & problem solving.
- Provide a daily written report to County.

3.2.10 The contractor's staff member assigned as site supervisor shall speak English fluently and understand the English language, as well as understand and speak the language(s) spoken by Contractor's employees that work at any County buildings, and shall be able to write reports to County in English as well as read and understand any written correspondence from County staff.

3.2.11 Contractor employees are not allowed to bring any non-employed family members or visitors while working on any County property.

3.3 Background Check and Drug Screening

Upon award of the contract, Contractor shall run thorough background investigations and drug screenings on all personnel that will be working in County-owned or County-operated buildings. Any convictions other than misdemeanor traffic incidents shall be cause to deny access to the prospective contract employee.

All Contractor personnel must be a citizen of the United States, or Contractor shall show evidence to County that any of the Contractor's personnel that are not U.S. citizens are in United States legally.

Once contractor is satisfied that the prospective employee is able to pass their in-house background investigation and drug screen, the prospective employee shall complete and sign Attachment C.

Contractor will submit the employee's signed and notarized Attachment C to the Sedgwick County Courthouse Police. Courthouse Police will then conduct background checks using a national search, performed at the highest degree (most in-

depth) available, and performed annually. The Contractor will be responsible for the cost of the background checks conducted by Sedgwick County Courthouse Police. The cost of each background check, to include an Issued ID card each year shall be \$35.00. Replacements issued due to loss or damage will be \$20.00 at the cost of the Contractor. Contractor employees shall keep the photo ID visible at all times while working at County facilities.

The criminal history background check on a prospective employee, who has been denied, may be made available for their viewing upon their request to the Sedgwick County Courthouse Police.

County will issue a revocable Contractor Photo I.D. which will bear a color code designating the employee's authorized access to county facilities. (Blue or Green) The card will also bear an expiration date of December 31st of the current year. The same I.D. badge will be returned to the Courthouse Police upon their separation of employment with the Contractor. Contractor will maintain a current photo roster of their employees and send the update to Courthouse Police and the Sedgwick County Custodial Supervisor. The roster will provide a photo reference and verification of the employee and their standing. All Contractor employees are required to have the issued photo ID visible at all times while working at County facilities. Employees will not be permitted onto the property without the I.D.

County may request and Contractor shall provide any information necessary for county to perform any background checks that County decides to perform. This information shall include such items as:

- Full name
- Each address used during the last 5-years
- Date of Birth
- Social Security Number
- Work permit number
- Citizenship status

Contractor shall provide all such information to County within 24-hours of the request.

The decision to deny or allow these persons to work at County facilities shall be entirely at Courthouse Police Chief's discretion. In addition, County reserves the right to run a criminal background check on any of Contractor's employees that will be on-site at County facilities.

Contractor will provide Courthouse Police and County Custodial Supervisor with full name, address and phone number for each of Contractor's staff that will be involved with County buildings.

The county reserves the right to request removal of any of the Contractor's employees from the building at any time if evidence of felony or misdemeanor convictions (excluding traffic misdemeanors) is determined prior to, or during contract period.

3.4 Security

The Contractor shall comply with County's requirements for security and operational constraints.

3.4.1 Employees working for service providers or vendors with contracts with Sedgwick County will not be permitted to bring weapons into Sedgwick County buildings.

3.4.2 Security Screening: Contractor's staff will be required to go through Courthouse Police security screening upon entrance to and departure from the Main Courthouse, 525 N. Main and when requested by other locations. All Items brought into County property or taken from County property will be subject to search.

3.4.3 County will provide Contractor with key(s), or keycards or door-code access numbers in areas requiring entry.

3.4.4 Contractor shall immediately notify the Courthouse Police or County Custodial Supervisor or in the Supervisor's absence, the Manager's designee when there is a discovery that building security has been breached.

3.4.5 Costs associated with re-keying that may be required as a result of Contractor's employee's loss of keys or unauthorized duplication of keys shall be the responsibility of the Contractor.

3.4.6 Any property found in public areas and common areas shall be immediately reported to Courthouse Police with a complete description of the property found, and the time of day and specific location that the property was found.

3.4.7 Any property found in personal offices shall be left in the location where found, unless there is a compelling reason to do otherwise. For example, if it looks out-of-place for any reason, contact Courthouse Police immediately.

- 3.4.8 If property is found in courtrooms or in court areas, do not touch it, but contact Courthouse Police immediately.
- 3.4.9 For property found or for related questions, immediately call Courthouse Police at 660-7777 to report the situation.

3.5 **Training**

The Contractor is required to provide training that meets all State, Local, and Federal regulations including but not limited to Blood Borne Pathogen Training and “Right to Know Training” for all of their employees. This training shall be given prior to anyone working at any County facilities; and verification that this training has been given shall be documented; and itemized documentation provided to County before any of Contractor’s employees work at County facilities.

3.6 **Certifications**

Provide in detail the type of certification your firm holds, and what measures are included. This shall include training relative to custodians:

3.6.1 Methods Proposer uses to keep items out of the waste stream

3.6.2 Cleaning methods and products used. GS-42: Green Seal Certification (e.g. GS-42 for information go to: <http://www.sedgwickcounty.org/purchasing/GS42/DOC062112-001.pdf>)

3.6.3 SCCMT Recommends Brady / Betco Training Certification Programs

3.6.4 SCCMT Recommends: LEED EBOM -2009 IEQc3.2 Green Cleaning.

3.6.5 SCCMT Recommends: UL Environmental Product Certification: ISO 14024- Compliant environmental certification service.

3.6.6 SCCMT Recommends: CIMS and CIMS GB – Certified.

3.6.7 SCCMT Recommends: IICRC Certification:

- a. Commercial Carpet Maintenance Technician (CCMT)
- b. Floor Care (Hard Surfaces) Technician (FCT)
- c. Health and Safety Technician (HST)
- d. Odor Control Technician (OCT)
- e. Resilient Floor Maintenance Technician (RFMT)
- f. Rug Cleaning Technician (RCT)
- g. Stone, Masonry and Ceramic Tile Cleaning Technician (SMT)
- h. Upholstery and Fabric Cleaning Technician (UFT)
- i. Wood Floor Maintenance Technician (WFMT)

VI. **Sedgwick County’s Responsibilities**

- Provide information, as legally allowed, in possession of the County, which relates to the County’s requirements or which is relevant to this project.
- Designate a person to act as the County Contract Manager with respect to the work to be performed under this contract.
- County reserves the right to make inspections at various points of the project. Contractor agrees to openly participate in said inspections and provide information to the county on the progress, expected completion date and any unforeseen or unexpected complications in the project.

VII. **Proposal Terms**

A. **Questions and Contact Information**

Any questions regarding this document must be submitted in writing to Britt Rosencutter at britt.rosencutter@sedgwick.gov by 5:00 pm CST, Friday, February 26, 2021. Any questions of a substantive nature will be answered in written form as an addendum and posted on the purchasing website at <https://www.sedgwickcounty.org/finance/purchasing/requests-for-bid-and-proposal/> under the Documents column associated with this RFP number by 5:00 pm CST, Tuesday, March 2, 2021. Firms are responsible for checking the website and acknowledging any addenda on their proposal response form.

B. Minimum Firm Qualifications

This section lists the criteria to be considered in evaluating the ability of firms interested in providing the service(s) and/or product(s) specified in this Request for Proposal. Firms must meet or exceed these qualifications to be considered for award. Any exceptions to the requirements listed should be clearly detailed in proposer's response.

Proposers shall:

1. Have a minimum of 3 years of experience in providing services similar to those specified in this RFP.
2. Have an understanding of industry standards and best practices.
3. Provide experience with similar custodial services to single clients with no less than 10,000 square feet of custodian maintenance service needs.
4. Have knowledge of and comply with all currently applicable, and as they become enacted during the contract term, federal, state and local laws, statutes, ordinances, rules and regulations. All laws of the State of Kansas, whether substantive or procedural, shall apply to the contract, and all statutory, charter, and ordinance provisions that are applicable to public contracts in the county shall be followed with respect to the contract.
5. Have the capacity to acquire all bonds, escrows or insurances as outlined in the terms of this RFP.
6. Provide project supervision (as required) and quality control procedures.
7. Have appropriate material, equipment and labor to perform specified services.
8. Wear company uniform or ID badge for identification purposes.
9. Provide a bank reference statement and a copy of the most recent financial statement.
10. Provide three references verifying exemplary service. These references MUST have received service(s) similar to those proposed under this RFP. Provide the business name, address, contact name, phone number, e-mail address, length of service contract for each site; indicate the number of square feet, and a brief description of services provided.
11. Complete a Criminal History Background Check Certification for each employee selected to perform work on County Property, prior to working on County owned/leased properties. Annual background checks will be required.
12. Employ personnel that are 18 years of age or older at all County owned/leased facilities.
13. Domestic (Kansas) corporations shall (a) furnish evidence of good standing in the form of a Certificate signed by the Kansas Secretary of State. Foreign (non-Kansas) corporations shall furnish evidence of authority to transact business in Kansas, in the form of a Certificate signed by the Kansas Secretary of State; and (b) copy of the Corporation Resolution evidencing the authority to sign the Contract Documents, executed by the Corporation's Secretary or Assistant Secretary

C. [Evaluation Criteria](#)

The selection process will be based on the responses to this RFP. County staff will judge each response as determined by the scoring criteria below. Purchasing staff are not a part of the evaluation committee.

Component	Points
A. Qualifications experience, capabilities and technical competence. The breadth and depth of the proposer’s organization’s experience in the performance of comparable services was fully developed and presented. References were considered as part of this evaluation item as well as employee retention.	50
B. Demonstrate clearly and completely your organization’s ability and capacity to meet the all Request for Proposal Conditions and respond to all instructions as outlined herein.	20
C. Pricing Schedule and Service Rates	20
D. Implementation of schedule. The proposed start up schedule appears reasonably relative to the requirements in the Scope of Work and the quality and thoroughness of the work proposed.	10
Total Points	100

Assume the following cost proposals (**examples only**)

- A. \$50,000.00
- B. \$38,000.00
- C. \$49,000.00

Company B with a total price of \$38,000.00 is the low offer. Take the low offer and divide each of the other offers into the low offer to calculate a percentage. This percentage is then multiplied by the number of points available for the cost. In this case, 10 points are allocated to cost.

- A. $\$38,000.00 \text{ divided by } \$50,000.00 = .76$ $.76 * 10$ 7.6 points
- B. $\$38,000.00 \text{ divided by } \$38,000.00 = 1.00$ $1.00 * 10$ 10 points
- C. $\$38,000.00 \text{ divided by } \$49,000.00 = .77$ $.77 * 10$ 7.7 points

Any final negotiations for services, terms and conditions will be based, in part, on the firm’s method of providing the service and the fee schedule achieved through discussions and agreement with the county’s review committee. The county is under no obligation to accept the lowest priced proposal and reserves the right to further negotiate services and costs that are proposed. The county also reserves the sole right to recommend for award the proposal and plan that it deems to be in its best interest.

The county reserves the right to reject all proposals. All proposals, including supporting documentation shall become the property of Sedgwick County. All costs incurred in the preparation of this proposal shall be the responsibility of the firm making the proposals. Sedgwick County reserves the right to select, and subsequently recommend for award, the proposed service, which best meets its required needs, quality levels and budget constraints.

D. [Request for Proposal Timeline](#)

The following dates are provided for information purposes and are subject to change without notice. Contact the Purchasing Department at (316) 660-7255 to confirm any and all dates.

Distribution of Request for Proposal to interested parties	Friday, February 19, 2021
Per-proposal ZOOM meeting at 3:00 pm CST	Tuesday, February 23, 2021
Questions and clarifications submitted in writing by 5:00 pm CST	Friday, February 26, 2021
Addendum Issued by 5:00 pm CST	Tuesday, March 2, 2021
Sealed Proposal due before 1:45 pm CST	Tuesday, March 9, 2021
Evaluation Period	March 10 thru March 15
Board of Bids and Contracts Recommendation	Thursday, March 18, 2021
Board of County Commission Award	Wednesday, March 24, 2021

E. [Contract Period and Payment Terms](#)

A contractual period will begin following Board of County Commissioners (BoCC) approval of the successful firm(s) and continue for a period of one (1) year with four (4) one (1) year options to renew.

Either party may cancel its obligations herein upon thirty-day (30) prior written notice to the other party. It is understood that funding may cease or be reduced at any time, and in the event that adequate funds are not available to meet the obligations hereunder, either party reserves the right to terminate this agreement upon thirty (30) days prior written notice to the other. Payment will be remitted following receipt of monthly detailed invoice.

Payment and Invoice Provisions

https://www.sedgwickcounty.org/media/39239/payment_and_invoice_provisions.pdf

F. [Insurance Requirements](#)

Liability insurance coverage indicated below must be considered as primary and not as excess insurance. If required, Contractor's professional liability/errors and omissions insurance shall (i) have a policy retroactive date prior to the date any professional services are provided for this project, and (ii) be maintained for a minimum of 3 years past completion of the project. Contractor shall furnish a certificate evidencing such coverage, with County listed as an additional insured including both ongoing and completed operations, except for professional liability, workers' compensation and employer's liability. **Certificate shall be provided prior to award of contract.** Certificate shall remain in force during the duration of the project/services and will not be canceled, reduced, modified, limited, or restricted until thirty (30) days after County receives written notice of such change. All insurance must be with an insurance company with a minimum BEST rating of A-VIII and licensed to do business in the State of Kansas (**must be acknowledged on the bid/proposal response form**).

NOTE: If any insurance is subject to a deductible or self-insured retention, written disclosure must be included in your proposal response and also be noted on the certificate of insurance.

It is the responsibility of Contractor to require that any and all approved subcontractors meet the minimum insurance requirements.

Workers' Compensation:	
Applicable coverage per State Statutes	
Employer's Liability Insurance:	\$500,000.00
Commercial General Liability Insurance (on form CG 00 01 04 13 or its equivalent):	
Each Occurrence	\$1,000,000.00
General Aggregate, per project	\$2,000,000.00
Personal Injury	\$1,000,000.00
Products and Completed Operations Aggregate	\$2,000,000.00
Automobile Liability:	
Combined single limit	\$500,000.00
Umbrella Liability:	
Following form for both the general liability and automobile	
<u> X </u> Required/ ___ Not Required	
Each Claim	\$1,000,000.00
Aggregate	\$1,000,000.00
Professional Liability/ Errors & Omissions Insurance:	
___ Required/ <u> X </u> Not Required	
Each Claim	\$1,000,000.00
Aggregate	\$1,000,000.00
Pollution Liability Insurance:	
<u> X </u> Required/ ___ Not Required	
Each Claim	\$1,000,000.00
Aggregate	\$1,000,000.00

Special Risks or Circumstances:

Entity reserves the right to modify, by written contract, these requirements, including limits, based on the nature of the risk, prior experience, insurer, coverage, or other special circumstances.

G. Indemnification

To the fullest extent of the law, the provider, its subcontractor, agents, servants, officers or employees shall indemnify and hold harmless Sedgwick County, including, but not limited to, its elected and appointed officials, officers, employees and agents, from any and all claims brought by any person or entity whatsoever, arising from any act, error, or omission of the provider during the provider's performance of the agreement or any other agreements of the provider entered into by reason thereof. The provider shall indemnify and defend Sedgwick County, including, but not limited to, its elected and appointed officials, officers, employees and agents, with respect to any claim arising, or alleged to have arisen from negligence, and/or willful, wanton or reckless acts or omissions of the provider, its subcontractor, agents, servants, officers, or employees and any and all losses or liabilities resulting from any such claims, including, but not limited to, damage awards, costs and reasonable attorney's fees. This indemnification shall not be affected by any other portions of the agreement relating to insurance requirements. The provider agrees that it will procure and keep in force at all times at its own expense insurance in accordance with these specifications.

H. [Confidential Matters and Data Ownership](#)

The successful proposer agrees all data, records and information, which the proposer, its agents and employees, which is the subject of this proposal, obtain access, remains at all times exclusively the property of Sedgwick County. The successful proposer agrees all such data, records, plans and information constitutes at all times proprietary information of Sedgwick County. The successful proposer agrees that it will not disclose, provide, or make available any of such proprietary information in any form to any person or entity. In addition, the successful proposer agrees it will not use any names or addresses contained in such data, records, plans and information for the purpose of selling or offering for sale any property or service to any person or entity who resides at any address in such data. In addition, the successful proposer agrees it will not sell, give or otherwise make available to any person or entity any names or addresses contained in or derived from such data, records and information for the purpose of allowing such person to sell or offer for sale any property or service to any person or entity named in such data. Successful proposer agrees it will take all reasonable steps and the same protective precautions to protect Sedgwick County's proprietary information from disclosure to third parties as with successful proposer's own proprietary and confidential information. Proposer agrees that all data, regardless of form that is generated as a result of this Request for Proposal is the property of Sedgwick County.

I. [Proposal Conditions](#)

<https://www.sedgwickcounty.org/media/31338/proposal-terms-conditions.pdf>

General Contract Provisions

<https://www.sedgwickcounty.org/media/31337/general-contractual-provisions.pdf>

Mandatory Contract Provisions

<https://www.sedgwickcounty.org/media/31336/mandatory-contractual-provisions.pdf>

Independent Contractor

<https://www.sedgwickcounty.org/media/54780/independent-contractor-addendum.pdf>

Sample Contract

<https://www.sedgwickcounty.org/media/39236/sample-contract.pdf>

VIII. [Required Response Content](#)

All proposal submissions shall include the following:

1. Firm profile: the name of the firm, address, telephone number(s), contact person, year the firm was established, and the names of the principals of the firm.
2. The names of the staff members who will be available for work on the contract, including a listing of their work experience.
3. Quality control plan.
4. Completed response form and all attachments.
5. The firm's relevant experience, notably experience working with comparable agencies.
6. At minimum, three (3) professional references, besides Sedgwick County, with email addresses, telephone numbers, and contact persons where work has been completed within the last three years.
7. A disclosure of any personal or financial interest in any properties in the project area, or any real or potential conflicts of interest with Elected Officials or other County staff.
8. A description of the type of assistance that will be sought from County staff, including assistance required from the County to lessen the costs of this project.
9. Proof of insurance meeting minimum insurance requirements as designated herein.
10. Those responses that do not include all required forms/items may be deemed non-responsive.

IX.

HIPAA Required Response

All proposal submissions shall include the following:

1. During the past 36 months, how many notices of breach affecting 500 or more individuals have you reported to the U.S. Department of Health and Human Services regarding your breaches of unsecured protected health information, as required pursuant to HIPAA regulation 45 C.F.R. § 164.408?
2. During the past 36 months, how many notices of breach affecting fewer than 500 individuals have you reported to the U.S. Department of Health and Human Services regarding your breaches of unsecured protected health information, as required pursuant to HIPAA regulation 45 C.F.R. § 164.408?
Have you been responsible for any civil penalties as a result of any U.S. Department of Health and Human Services HIPAA enforcement action within the past 60 months? If so, for each instance during which you were responsible for these civil penalties, please describe: (a) when those civil penalties were imposed; (b) the amount of any such civil penalties; and (c) the activity that led to the imposition of such civil penalties.

X. Response Form

**REQUEST FOR PROPOSAL
RFP # 21-0002**

CUSTODIAL SERVICES FOR THE SEDGWICK COUNTY CORRECTIONS

The undersigned, on behalf of the proposer, certifies that: (1) this offer is made without previous understanding, agreement or connection with any person, firm, or corporation submitting a proposal on the same project; (2) is in all respects fair and without collusion or fraud; (3) the person whose signature appears below is legally empowered to bind the firm in whose name the proposer is entered; (4) they have read the complete Request for Proposal and understands all provisions; (5) if accepted by the county, this proposal is guaranteed as written and amended and will be implemented as stated; and (6) mistakes in writing of the submitted proposal will be their responsibility.

NAME _____

DBA/SAME _____

CONTACT _____

ADDRESS _____ CITY/STATE _____ ZIP _____

PHONE _____ FAX _____ HOURS _____

STATE OF INCORPORATION or ORGANIZATION _____

WEBSITE ADDRESS _____ EMAIL _____

NUMBER OF LOCATIONS _____ NUMBER OF PERSONS EMPLOYED _____

TYPE OF ORGANIZATION: Public Corporation _____ Private Corporation _____ Sole Proprietorship _____

Partnership _____ Other (Describe): _____

BUSINESS MODEL: Small Business _____ Manufacturer _____ Distributor _____ Retail _____

Dealer _____ Other (Describe): _____

Not a Minority-Owned Business: _____ Minority-Owned Business: _____ (Specify Below)

_____ African American (05) _____ Asian Pacific (10) _____ Subcontinent Asian (15) _____ Hispanic (20)

_____ Native American (25) _____ Other (30) - Please specify _____

Not a Woman-Owned Business: _____ Woman-Owned Business: _____ (Specify Below)

_____ Not Minority -Woman Owned (50) _____ African American-Woman Owned (55)

_____ Asian Pacific-Woman Owned (60) _____ Subcontinent Asian-Woman Owned (65) _____ Hispanic Woman Owned (70)

_____ Native American-Woman Owned (75) _____ Other – Woman Owned (80) – Please specify _____

ARE YOU REGISTERED TO DO BUSINESS IN THE STATE OF KS: _____ Yes _____ No

INSURANCE REGISTERED IN THE STATE OF KS WITH MINIMUM BEST RATING OF A-VIII: _____ Yes _____ No

ACKNOWLEDGE RECEIPT OF ADDENDA: All addendum(s) are posted to our RFQ/RFP web page and it is the vendor's responsibility to check and confirm all addendum(s) related to this document by going to www.sedgwickcounty.org/finance/purchasing.asp .

NO. _____, DATED _____; NO. _____, DATED _____; NO. _____, DATED _____

In submitting a proposal, vendor acknowledges all requirements, terms, conditions, and sections of this document. Proposal submission format should be by order in which sections are listed throughout the document. All minimum and general requirements should be specifically addressed and detailed in proposer's response. **Exceptions to any part of this document should be clearly delineated and detailed.**

Signature _____ Title _____

Print Name _____ Dated _____

Attachment A

Price per square foot for standard cleaning: Shall be used for the addition or elimination of space(s) if needed.

Day(s) / Shift	Per Square Foot
Monday thru Friday (1 st Shift)	\$ _____
Monday thru Friday (2 nd Shift)	\$ _____
Saturday and Sunday (1 st Shift)	\$ _____
Saturday and Sunday (2 nd Shift)	\$ _____

Periodic Cleaning Service Rates (Should include price per person, per hour, including equipment. Prices to be utilized as an additional service or an elimination of service):

- Dry Vapor Steam Clean: \$ _____ per sq. ft.
- Grout Sealer: \$ _____ per sq. ft.
- Stain Protector: \$ _____ per sq. ft.
- Floor: Non-Carpet, tiled surface:
Machine Scrub and Spray Buff
(Typically, no wax floors) \$ _____ per sq. ft.
- Floor: Carpet, Clean by:
Extraction, agitation and shampoo \$ _____ per sq. ft.
- Wall: tiled, stone wall panels or
Acoustical wall clean and scrub \$ _____ per sq. ft.
- Upholstered chairs:
Clean and shampoo \$ _____ each

Service Call Rates:

- Monday thru Friday (1st shift): \$ _____ per person, per hour
- Monday thru Friday (2nd shift) \$ _____ per person, per hour
- Saturday and Sunday (1st shift) \$ _____ per person, per hour
- Saturday and Sunday (2nd shift) \$ _____ per person, per hour
- Holidays \$ _____ per person, per hour

Prices for years 2, 3, 4 and 5 shall not exceed:

Year 2 _____ % Year 3 _____ % Year 4 _____ % Year 5 _____ %

Attachment B

<u>CONTRACT GROUP</u>					
ID	COUNTY BUILDING	ADDRESS	APPROX SQ FT*	BASE COST PER MONTH	BASE ANNUAL COST
1.	Adult Intensive Supervision (AISP)	905 N. Main St.	18,050		
2.	Juvenile Field Services	3803 E. Harry, Ste. 125	11,250		
3.	Drug Court	3803 E. Harry, Ste. 121	9,600		

<u>CONTRACT GROUP ON A MONTH TO MONTH BASIS*</u>					
ID	COUNTY BUILDING	ADDRESS	APPROX SQ FT*	BASE COST PER MONTH	
1.	Adult Residential Services	622 E. Central	12,435		

<u>HIGH TOUCHPOINT CLEANING (TWICE DAILY)**</u>			
COUNTY BUILDING	ADDRESS	APPROX SQ FT*	COST PER WEEK
Adult Intensive Supervision (AISP)	905 N. Main St.	18,050	
Juvenile Field Services	3803 E. Harry, Ste. 125	11,250	
Drug Court	3803 E. Harry, Ste. 121	9,600	
Adult Residential Services	622 E. Central	12,435	

**The service at this location is on a month-by-month basis as long as COVID conditions continue. When COVID conditions lift service at this location will go back to being done by the ARS residents.*

***High touchpoint cleaning would be a quick disinfecting of high touch areas such as door handles, light switches, counters, etc. Also, in the rest rooms and break rooms, faucet handles, door handles, towel dispensers, etc. High touchpoint cleaning will only be required as long as COVID conditions continue.*

Attachment C



*Sedgwick County...
working for you*

Non-Employee - Cleaning Contractor Employee Application Form

Applicant Information

Name:

Last: First: Middle:

Other Names you have used:

Home Street Address:

City: State: Zip Code:

Email Address:

Home Phone: Mobile Phone: Work Phone:

Driver's License Number & State: Other Govt. ID# Alien Registration #:

/ / /

Concealed Carry License Number: Date of Birth: Social Security Number:

Race: Sex: Height: Weight: Hair Color: Eye Color:

Are you a citizen of the United States? Yes No If no, are you authorized to work in the U.S.? Yes No

Are you an alien illegally in the United States? Yes No If yes, explain: _____

Are you under indictment or information in any court for a misdemeanor or felony? Yes No If yes, explain: _____

Have you ever been convicted in any court of a felony offense? Yes No If yes, explain: _____

Have you ever been convicted of a misdemeanor offense? Yes No If yes, explain: _____

Have you ever entered into a diversion agreement for a misdemeanor or felony? Yes No If yes, explain: _____

Have you, or ever been, subject to a Restraining order, or Protection from Abuse Order? Yes No If yes, explain: _____

Have you ever been subject to involuntary commitment for care and treatment? Yes No If yes, explain: _____

Are you an unlawful user of, or addicted to marijuana or any depressant, stimulant, narcotic drug or any other controlled substance? Yes No
If yes, explain: _____

Company Information

Company Name: Business Address:

-Employee - Cleaning Contractor Employee Authorization for Release of information / Agreement Statement

I _____ do hereby authorize a review of and full disclosure of all records concerning myself to any duly authorized agent of the Sedgwick County Courthouse Police Department, whether the said records are of a public, private, or confidential nature, to include a criminal background check from the Kansas Bureau of Investigation.

The intent of this authorization is to give my consent for full and complete disclosure of the records to include criminal records or any records to validate the application process. I hereby authorize the Sedgwick County Courthouse Police Department personnel to review any public records relating to my personal conduct and any other pertinent information in order to render a decision regarding the application.

I understand that any information obtained by a personal history background investigation which is developed directly or indirectly, in whole or in part, upon this released authorization will be considered in determining my request for Non-Employee - Cleaning Contractor Employee Access being granted by the Sedgwick County Courthouse Police Department. I also certify that any person(s) who may furnish such information concerning me shall not be held accountable for giving this information; and I do hereby release said person(s) from any and all liability that may be incurred as a result of furnishing such information. I understand that the issuance of access cards is purely voluntary, and cards are intended only for the use of persons who have unblemished records, who will not present any concern for the safety and security of the Sedgwick County Courthouses, employees and occupants.

I agree to pay any and all charges or fees concerning this request. I understand and agree with the non-refundable application or renewal fee. The fee for individuals who are not Kansas Carry Concealed License holders is \$30.00. Lost card replacement is \$20.00 and lost cards must be reported immediately.

I hereby agree to the following statements, requirements and prohibited activities related to the Non-Employee Courthouse Entry Access agreement:

1. I shall not tamper with, damage, deactivate, disable, defeat, modify or alter without authorization, any camera, alarm, Access Card, other security device, security program or component.
2. I shall not, without authorization of the Sedgwick County Courthouse Police Department access any security system computer, computer program or component.
3. I shall not climb over or otherwise avoid ropes, gates, barriers or security procedures or permit another to do so.
4. I shall not prop open or remove an alarmed door, locked door, gate or barrier without the specific prior authorization of the Sedgwick County Courthouse Police Department.
5. I shall not refuse to submit to security screening when requested.
6. I shall not falsely identify myself to Security or Police personnel, or loan my card to anyone else.
7. I have not been charged or indicted in any court for a felony or any other crime, excluding traffic offenses,
8. I have not been served a restraining, protection from stalking, or protection from abuse order.
9. I am not addicted to any illegal drug or any other controlled substance.
10. I have never been adjudicated for any mental impairment, been involuntarily committed to a mental institution or designated a person with an alcohol or substance abuse problem subject to involuntary commitment.
11. I understand the granting of Non-Employee - Cleaning Contractor Employee Access is a privilege - not a right, and that any breach of the terms and requirements within this application process may result in the suspension or termination of my access.
12. I understand weapons are prohibited in Sedgwick County Courthouses to include firearms, knives, sprays (including pepper, CN and CS), clubs and other weapons are prohibited in the Courthouses and that violation of any provisions of this Regulation will result in revocation of such person's authority to enter into the Courthouses.
13. I understand that when using the Non-Employee - Cleaning Contractor Photo ID Card it is my **obligation** to approach the nearest Courthouse Police Officer, and to display the photograph and card, until such time as he/she acknowledges the card and directs me through screening.
14. I understand that if I am arrested for any crime, misdemeanor or felony, or am served with a restraining, protection from stalking or protection from abuse order, it is my **obligation** to immediately notify the Sedgwick County Courthouse Police Department, and to cease using the Non-Employee - Cleaning Contractor Photo ID Card, until such time as the matter has been resolved, and I have received specific permission to resume use of the card from the Sedgwick County Courthouse Police Department.

ACKNOWLEDGEMENT AND SIGNATURE

I certify that my answers are true and complete to the best of my knowledge. A photocopy of this release form will be valid as an original thereof, even though the said photocopy does not contain an original writing of my signature. This release is valid for one year from the date of my signature.

If this application leads to the granting of a Non-Employee - Cleaning Contractor Photo ID Card, I understand that ANY false or misleading information in my application or interview may result in revocation of my card access. I also understand that certain information contained on this form may be subject to the Kansas Open Records Act (KORA), which may result in this information being provided to the public or media.

Signature: _____ Date

Subscribed and sworn this _____, day of _____, 20_____.

Notary Public
My Appointment Expires:

HIPAA RULES

BUSINESS ASSOCIATE ADDENDUM

DEFINITIONS

1.1 The following terms used in this Agreement shall have the same meaning as those terms in the HIPAA Rules: Breach, Data Aggregation, Designated Record Set, Disclosure, Health Care Operations, Individual, Minimum Necessary, Notice of Privacy Practices, Protected Health Information, Required By Law, Secretary, Security Incident, Subcontractor, Unsecured Protected Health Information, and Use.

Specific definitions:

(a) **Business Associate.** “Business Associate” shall generally have the same meaning as the term “business associate” at 45 CFR 160.103.

(b) **Covered Entity.** “Covered Entity” shall generally have the same meaning as the term “covered entity” at 45 CFR 160.103, and in reference to the party to this agreement, shall mean Sedgwick County.

(c) **HIPAA Rules.** “HIPAA Rules” shall mean the Privacy, Security, Breach Notification, and Enforcement Rules at 45 CFR Part 160 and Part 164.

OBLIGATIONS AND ACTIVITIES OF BUSINESS ASSOCIATE

Business Associate agrees to:

2.1 not Use or Disclose Protected Health Information other than as permitted or required by the Agreement or as Required By Law;

2.2 Use appropriate safeguards and comply with Subpart C of 45 CFR Part 164 with respect to electronic Protected Health Information, to prevent Use or Disclosure of Protected Health Information other than as provided for by this Agreement;

2.3 report to covered entity any Use or Disclosure of Protected Health Information not provided for by the Agreement of which it becomes aware, including Breaches of Unsecured Protected Health Information as required at 45 CFR 164.410, and any Security Incident of which it becomes aware, as further provided for in Par. 12.1, *et seq.*;

2.4 mitigate, to the extent practicable, any harmful effect that is known to Business Associate of a Use or Disclosure of Protected Health Information by Business Associate in violation of the requirements of this Agreement;

2.5 in accordance with 45 CFR 164.502(e)(1)(ii) and 164.308(b)(2), if applicable, ensure that any Subcontractors that create, receive, maintain, or transmit Protected Health Information on behalf of the Business Associate agree to the same restrictions, conditions, and requirements that apply to the Business Associate with respect to such information;

2.6 make available Protected Health Information in a Designated Record Set to the Covered Entity as necessary to satisfy Covered Entity’s obligations under 45 CFR 164.524;

2.7 make any amendment(s) to Protected Health Information in a Designated Record Set as directed or agreed to by the Covered Entity pursuant to 45 CFR 164.526 or take other measures as necessary to satisfy Covered Entity's obligations under 45 CFR 164.526;

2.8 make its internal practices, books, and records available to the Secretary for purposes of determining compliance with the HIPAA Rules; and

2.9 maintain and make available the information required to provide an accounting of Disclosures to the Covered Entity as necessary to satisfy covered entity's obligations under 45 CFR 164.528.

PERMITTED USES AND DISCLOSURES BY ASSOCIATE

3.1 Except as otherwise limited in this Agreement, Business Associate may only Use or Disclose Protected Health Information on behalf of, or to provide services to, Covered Entity for the purposes of the contractual relationship, if such Use or Disclosure of Protected Health Information would not violate the Privacy Rule if done by Covered Entity or the Minimum Necessary policies and procedures of the Covered Entity.

SPECIFIC USE AND DISCLOSURE PROVISIONS

4.1 Except as otherwise limited in this Agreement, Business Associate may Use Protected Health Information for the proper management and administration of the Business Associate or to carry out the contractual or legal responsibilities of the Business Associate.

4.2 Business Associate may Use or Disclose Protected Health Information as Required By Law.

4.3 Business Associate agrees to make Uses and Disclosures and requests for Protected Health Information consistent with Covered Entity's Minimum Necessary policies and procedures.

4.4 Business Associate may Disclose Protected Health Information for the proper management and administration of Business Associate or to carry out the legal responsibilities of the Business Associate, provided the Disclosures are Required By Law, or Business Associate obtains reasonable assurances from the person to whom the information is disclosed that the information will remain confidential and Used or further Disclosed only as Required By Law or for the purposes for which it was Disclosed to the person, and the person notifies Business Associate of any instances of which it is aware in which the confidentiality of the information has been Breached.

4.5 Business Associate may provide Data Aggregation services relating to the Health Care Operations of the covered entity.

4.6 Business Associate may Use Protected Health Information to report violations of law to appropriate Federal and State authorities, consistent with § 164.502(j) (1).

OBLIGATIONS OF COVERED ENTITY

5.1 Covered Entity shall notify Business Associate of any limitation(s) in its Notice of Privacy Practices of Covered Entity in accordance with 45 CFR § 164.520, to the extent that such limitation may affect Business Associate's Use or Disclosure of Protected Health Information.

5.2 Covered Entity shall notify Business Associate of any changes in, or revocation of, permission by Individual to Use or Disclose Protected Health Information, to the extent that such changes may affect Business Associate's Use or Disclosure of Protected Health Information.

5.3 Covered Entity shall notify Business Associate of any restriction to the Use or Disclosure of Protected Health Information that Covered Entity has agreed to in accordance with 45 CFR § 164.522, to the extent that such restriction may affect Business Associate's Use or Disclosure of Protected Health Information.

PERMISSIBLE REQUESTS BY COVERED ENTITY

6.1 Covered Entity shall not request Business Associate to Use or Disclose Protected Health Information in any manner that would not be permissible under Subpart E of 45 CFR Part 164 if done by Covered Entity. If necessary in order to meet the Business Associate's obligations under the Agreement, the Business Associate may Use or Disclose Protected Health Information for Data Aggregation, management and administrative activities, or contractual or legal responsibilities of Business Associate.

TERM

7.1 *Term.* The Agreement shall be effective as of date of execution of the Agreement by the parties, and shall terminate when all of the Protected Health Information provided by Covered Entity to Business Associate, or created or received by Business Associate on behalf of Covered Entity, has been returned to Covered Entity or, at Covered Entity's option, is destroyed, or, if it is infeasible to destroy Protected Health Information, the protections are extended to such information, in accordance with the termination provisions in this Agreement.

MISCELLANEOUS

8.1 A reference in this Agreement to a section in the HIPAA Rules means the section as in effect or as amended.

8.2 The Parties agree to take such action as is necessary to amend this Agreement from time to time as is necessary for Covered Entity to comply with the requirements of the HIPAA Rules.

8.3 Any ambiguity in this Agreement shall be resolved to permit Covered Entity to comply with the HIPAA Rules.

8.4 In addition to any implied indemnity or express indemnity provision in the Agreement, Business Associate agrees to indemnify, defend and hold harmless the Covered Entity, including any employees, agents, or Subcontractors against any actual and direct losses suffered by the Indemnified Party(ies) and all liability to third parties arising out of or in connection with any breach of this Agreement or from any negligent or wrongful acts or omissions, including failure to perform its obligations under the HIPAA Rules, by the Business Associate or its employees, directors, officers, Subcontractors, agents, or other members of its workforce. Accordingly, upon demand, the Business Associate shall reimburse the Indemnified Party(ies) for any and all actual expenses (including reasonable attorney's fees) which may be imposed upon any Indemnified Party(ies) by reason of any suit, claim, action, proceeding or demand by any third party resulting from the Business Associate's failure to perform, Breach or other action under this Agreement.

SECURITY RULE REQUIREMENTS

9.1 Business Associate agrees, to the extent any Protected Health Information created, received, maintained or transmitted by or in electronic media, also referred to as electronic protected health care information, as defined by 45 CFR § 160.103, that it will only create, maintain or transmit such information with appropriate safeguards in place.

Business Associate shall therefore: implement administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity and availability of the electronic protected health care information; ensure that any agent, including Subcontractors, to whom it provides such information shall agree to also implement reasonable and appropriate safeguards to protect the information; and report to the Covered Entity any Security Incident, as that term is defined by 45 CFR § 164.304, of which it becomes aware.

TERMINATION

10.1 Business Associate authorizes termination of this Agreement by Covered Entity, if Covered Entity determines Business Associate has violated a material term of the Agreement and Business Associate has not cured the breach or ended the violation within the time specified by Covered Entity.

EFFECT OF TERMINATION

11.1 Upon termination of this Agreement for any reason, Business Associate shall return to Covered Entity or, if agreed to by Covered Entity, destroy all Protected Health Information received from Covered Entity, or created, maintained, or received by Business Associate on behalf of Covered Entity, that the Business Associate still maintains in any form. Business Associate shall retain no copies of the Protected Health Information.

Provided however, Business Associate may retain Protected Health Information if necessary for management and administration purposes or to carry out its legal responsibilities after termination of the Agreement.

Upon termination of this Agreement for any reason, Business Associate, with respect to Protected Health Information received from Covered Entity, or created, maintained, or received by Business Associate on behalf of Covered Entity, shall:

retain only that Protected Health Information which is necessary for Business Associate to continue its proper management and administration or to carry out its legal responsibilities;

return to Covered Entity or, if agreed to by Covered Entity, destroy the remaining Protected Health Information that the Business Associate still maintains in any form;

continue to use appropriate safeguards and comply with Subpart C of 45 CFR Part 164 with respect to electronic Protected Health Information to prevent Use or Disclosure of the Protected Health Information, other than as provided for in this Section, for as long as Business Associate retains the Protected Health Information;

not Use or Disclose the Protected Health Information retained by Business Associate other than for the purposes for which such Protected Health Information was retained and subject to the same conditions set out at in this Agreement which applied prior to termination;

return to Covered Entity or, if agreed to by Covered Entity, destroy the Protected Health Information retained by Business Associate when it is no longer needed by Business Associate for its proper management and administration or to carry out its legal responsibilities; and

provided, however, that nothing in this section 11.1 shall apply in the case of PHI remaining in its possession which Business Associate determines it is not feasible to return or destroy. Business Associate shall extend the protection of this Agreement to such PHI and limit further uses and disclosure of such PHI.

The obligations of Business Associate under this Agreement shall survive the termination of this Agreement.

NOTIFICATION OF BREACH

12.1 To the extent Business Associate accesses, maintains, retains, modifies, records, stores, destroys, or otherwise holds, Uses, or Discloses Unsecured Protected Health Information, it shall, following the discovery of a Breach of such information, notify the Covered Entity of such Breach. Such notice shall include the identification of each Individual whose Unsecured Protected Health Information has been, or is reasonably believed by the Business Associate to have been, Used, accessed, acquired, or Disclosed during such Breach. The Business Associate shall provide the Covered Entity with any other available information that the Covered Entity is required to include in notification to the Individual under 45 C.F.R. § 164.404(c) at the time of the required notification to the Covered Entity, or as promptly thereafter as the information is available.

12.2 For purposes of this section, a Breach shall be treated as discovered by the Business Associate as of the first day on which such Breach is known to such Business Associate (including any person, other than the Individual committing the breach, that is an employee, officer, or other agent of such associate) or should reasonably have been known to such Business Associate (or person) to have occurred by the exercise of reasonable diligence.

12.3 Subject to section 12.4, all notifications required under this section shall be made without unreasonable delay and in no case later than 60 calendar days after the discovery of a Breach by the Business Associate involved in the case of a notification required under section 12.2. The Business Associate involved in the case of a notification required under section 12.2, shall have the burden of demonstrating that all notifications were made as required under this part, including evidence demonstrating the necessity of any delay.

12.4 If a law enforcement official determines that a notification or notice required under this section would impede a criminal investigation or cause damage to national security, such notification or notice shall be delayed in the same manner as provided under section 164.528(a) (2) of title 45, Code of Federal Regulations, in the case of a Disclosure covered under such section.

If a law enforcement official states to the Business Associate that any notification or notice would impede a criminal investigation or cause damage to national security, the Business Associate shall:

- (a) If the statement is in writing and specifies the time for which a delay is required, delay such notification or notice for the time period specified by the official; or
- (b) If the statement is made orally, document the statement, including the identity of the official making the statement, and delay the notification or notice temporarily and no longer than 30 days from the date of the oral statement, unless a written statement as described in (a) is submitted during that time.

PROHIBITION ON SALE OF ELECTRONIC HEALTH RECORDS OR PROTECTED HEALTH INFORMATION.

13.1 Except as provided in section 13.2, the Business Associate shall not directly or indirectly receive remuneration in exchange for any Protected Health Information of an Individual unless the Covered Entity has obtained from the Individual, in accordance with section 164.508 of title 45, Code of Federal Regulations, a valid authorization that includes, in accordance with such section, a specification of whether the Protected Health Information can be further exchanged for remuneration by the entity receiving Protected Health Information of that Individual.

13.2 Section 13.1 shall not apply in the following cases:

- (a) The purpose of the exchange is for public health activities (as described in section 164.512(b) of title 45, Code of Federal Regulations).

- (b) The purpose of the exchange is for research (as described in sections 164.501 and 164.512(i) of title 45, Code of Federal Regulations) and the price charged reflects the costs of preparation and transmittal of the data for such purpose.
- (c) The purpose of the exchange is for the treatment of the Individual, subject to any regulation that the Secretary may promulgate to prevent Protected Health Information from inappropriate access, Use, or Disclosure.
- (d) The purpose of the exchange is the health care operation specifically described in subparagraph (IV) of paragraph (6) of the definition of healthcare operations in section 164.501 of title 45, Code of Federal Regulations.
- (e) The purpose of the exchange is for remuneration that is provided by the Covered Entity to the Business Associate for activities involving the exchange of Protected Health Information that the Business Associate undertakes on behalf of and at the specific request of the Covered Entity pursuant to the Agreement.
- (f) The purpose of the exchange is to provide an Individual with a copy of the Individual's Protected Health Information pursuant to section 164.524 of title 45, Code of Federal Regulations.